

PPACA Filing Instructions:

Below is the process for PPACA-related filings submitted in SERFF.

- Submit the filing using the appropriate type of insurance applicable to PPACA (e.g., hospital/surgical/medical expense, major medical, HMO, etc.).
- Complete the field labeled “PPACA” on the General Information tab to identify filings that are submitted to comply with PPACA. Please refer to SERFF’s Online Help for instructions on completing the required PPACA field. New York accepts Grandfathered and Non-Grandfathered related filings in one submission. If an incorrect value is inadvertently selected, it can be corrected via a Post Submission Update.
- For RIDER or AMENDMENT filings to comply with the immediate market reforms:
 - (1) Submit the filings using our Certification by Checklist filing type to receive priority review.
 - (2) Fulfill the new submission requirement of PPACA Compliance Checklist by completing the New York-specific PPACA checklist. (We are currently updating our submission requirements in SERFF to add the New York-specific PPACA checklist. In the interim, use the PPACA checklist that is posted on our website at <http://www.ins.state.ny.us/ihealth.htm> and attach it to the Supporting Documentation tab.) For these rider/amendment filings only, our usual Product Checklist submission requirement can be bypassed by simply inserting an explanation that a completed PPACA checklist is being submitted.
 - (3) Model language for PPACA riders is also available on our website at <http://www.ins.state.ny.us/ihealth.htm>. Use of the model language is optional for insurers, but its use will speed the Bureau’s review. Filers should indicate on the checklist whether the model language appears in the forms in the filing.
- For NEW products or filings not limited to the PPACA changes:
 - (1) Submit these filings using a filing type that is appropriate for the content of the filing and the method of review you wish to receive.
 - (2) Fulfill the submission requirements for both the PPACA checklist and our usual Product Checklist (if available on our website).

